

ZUARI FINSERV LIMITED

Registered Office : Jai Kisaan Bhawan, Zuarinagar, Goa - 403726
 Corporate Office : Plot No. 2, Zamrudpur Community Centre, Kailash Colony Extension, New Delhi - 110048
 Email: wecare@adventz.zuarimoney.com . Website: www.zuarimoney.com

SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS - SELF CERTIFICATION FORM FOR INDIVIDUALS

Mandatory for all Applicant(s) including Joint-holder(s), Guardian(if applicable) and Power of Attorney (if any).
 [Please consult your professional tax advisor on your tax residency and related FATCA & CRS guidance]

| A. FATCA & CRS INFORMATION (SELF CERTIFICATION) | | | | |
|--|---|----------------------------------|--|-------------------|
| PAN | | | | |
| Demat Account No. | | | Trading Account No. | |
| Mutual Fund Folio No. | | | | |
| Name | | | | |
| Type of address given at KRA | Residential | Business | Residential / Business | Registered Office |
| Nationality | | Gender | | Date of Birth |
| Mobile | | Place of Birth | | Country of Birth |
| Father's Name | | | | |
| Spouse's Name | | | | |
| Document's required other than PAN | Please refer reverse page (Instruction) | | | |
| Identification Number of the document provided | | | | |
| Is the applicant / guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India | Yes | | No | |
| If yes, please indicate all countries in which are you resident for tax purposes and associated Tax Id Numbers below | | | | |
| S No. | Country of Tax Residency# | Tax Payer Identification Number^ | Identification Type (TIN or other, please specify) | |
| | | | | |
| | | | | |
| | | | | |

to also include USA, where the individuals is a citizen / green card holder of USA.

^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

| B. ADDITIONAL KYC INFORMATION | |
|---|---|
| Occupation Details (Please tick) | Please refer reverse page (Instruction) |
| Gross Annual Income (Rs.) [Please tick] | Below 1 Lac 1 – 5 Lacs 5 – 10 Lacs 10 – 25 Lacs > 25 Lacs |
| Politically Exposed Person (PEP) Status * | I am PEP I am Related to PEP Not Applicable |
| * PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / Judicial / Military Officers / senior executives of state owned corporations, important political party officials etc. | |

| C. DECLARATIONS | |
|---|-----------|
| I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read alongwith FATCA & CRS Instructions) and hereby confirm that the information provided by me on this form is true, correct and complete. I hereby agree and confirm to inform Zuari Finserv Limited. for any modification to this information promptly. I further agree to abide by the provision of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI) I hereby authorised you to disclose, share, remit in any form/manner/mode the above information and /or any part of it including the changes/updates that may be provided by me to Financial Intelligence Unit -India (FIU-IND) etc. without any intimation/advise to me. | |
| Date | |
| Place | Signature |

SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS – SELF CERTIFICATION FORM FOR INDIVIDUALS

Mandatory for all Applicant(s) including Joint-holder(s), Guardian(if applicable) and Power of Attorney (if any).
[Please consult your professional tax advisor on your tax residency and related FATCA & CRS guidance]

| Terms & Conditions | |
|---|---|
| <p>The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certification and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding or any proceeds in relations thereto. Should there be any change in any information provided by you, please ensure you advise promptly, i.e. within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with us or our group companies. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.</p> <p>It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issue such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.</p> <p>In case Investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing documents as mentioned below :</p> | |
| FATCA / CRS Indicia observed | Documentation required for Cure of FATCA / CRS Indicia |
| U.S. Place of birth | <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of USA nor a resident for tax purposes. 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below)AND 3. Any one of the following documents: <ol style="list-style-type: none"> a. Certified copy of Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain US citizenship at birth. |
| Residence / mailing address in a country other than India | <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND 2. Documentary evidence (refer list below) |
| Telephone number in a country other than India | <p><i>If no Indian telephone number is provided</i></p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND 2. Documentary evidence (refer list below) <p><i>If Indian telephone number is provided alongwith a foreign country telephone number</i></p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND 2. Documentary evidence (refer list below) |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorised government body.
2. Valid identification issued by an authorised government body

List of occupation

- Service
- Student
- Professional
- Housewife
- Retired
- Proprietorship
- Others (Please specify)